

Electronic Filing System (EFS) Data
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EFS ID: 12583

Application ID: 09682713

Title of Invention: WEB BASED METHODS AND
SYSTEMS FOR MANAGING
COMPLIANCE ASSURANCE
INFORMATION

First Named Inventor: R. Mukund

Domestic/Foreign Application: Domestic Application

Filing Date: null

Effective Receipt Date: 2001-10-09 

Submission Type: Utility Patent Filing

Filing Type: new-utility

Confirmation Number: 0

Attorney Docket Number: 17652-00012

Digital Certificate Holder: cn=Michael Tersillo, ou=Registered Attorneys, ou=Patent and
Trademark Office, ou=Department of Commerce, o=U.S.
Government, c=US

Certificate Message Digest: KlqlBWgRQ5VVgWBbrO4p7A==

Total Fees Authorized: \$1392.0

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Deposit Account Number: 12384

Deposit Account Name: Michael Tersillo

TRANSMITTAL FORM



Electronic Version 1.0.2

Stylesheet Version: 1.0

Attorney Docket Number: 17652-00012

WEB BASED METHODS AND SYSTEMS FOR MANAGING COMPLIANCE ASSURANCE INFORMATION

First Named Inventor: R. Mukund

SUBMITTED BY

Name:	Michael Tersillo
Registration Number:	42,180
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I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.

I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

Attached Files:

bibd-transmittal	17652-00012apds.xml
fee-transmittal	17652-00012fee.xml
specification	Specification.xml
declaration	Dec.1.tif
declaration	Dec. 2.tif

Attached Image File(s):

Dec.1.tif

Dec. 2.tif

17652-00012asgn.xml

Comments:

[illegible]

COMBINED DECLARATION AND POWER OF ATTORNEY**Attorney Docket No.****17652-00012**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **WEB BASED METHODS AND SYSTEMS FOR MANAGING COMPLIANCE ASSURANCE INFORMATION** the specification of which:

(check one) ☒ [T] is attached hereto
☐ [] was filed on _____ as Application Serial No. _____
and was amended on _____.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56(a).

I hereby claim priority benefits under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status (patented, pending, abandoned)</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.</u>
_____	_____	_____
_____	_____	_____
_____	_____	_____

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (*list name and registration number*)

Ronald E. Myrick, Reg. No. 26,315; Henry J. Policinski, Reg. No. 26,621; Jay L. Chaskin, Reg. No. 24,030; James W. Mitchell, Reg. No. 25602; John F. Beninati, Reg. No. 24,030; Bernard Snyder, Reg. No. 24,843; Catherine J. Winter, Reg. No. 38,364, all of General Electric Company, 3135 Easton Turnpike, Fairfield, CT 06431; John S. Beulick, Reg. No. 33,338; Patrick W. Rasche, Reg. No. 37,916; Michael Tersillo, Reg. No. 42,180; Bruce T. Atkins, Reg. No. 43,476; Robert B. Reeser III, Reg. No. 45,548; Thomas M. Fisher, Reg. No. 47,564; Joseph A. Lanahan, Reg. No. 47,732; Gordon F. Sieckmann, Reg. No. 28,667; and Daniel M. Fitzgerald, Reg. No. 38,880; all of Armstrong Teasdale, One Metropolitan Square, Suite 2600, St. Louis, MO 63102-2740

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COMBINED DECLARATION AND POWER OF ATTORNEY**Attorney Docket No.****17652-00012**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application and any patent issued thereon.

SOLE OR FIRST INVENTOR:Full Name: R. MukundSignature: Date: October 6, 2001Residence: Cincinnati, OH 45249Citizenship: USAPost Office Address: 12130 Crestfield Ct., Cincinnati, OH 45249**SECOND JOINT INVENTOR, IF ANY:**

Full Name: _____

Signature: _____

Date: _____

Residence: _____

Citizenship: _____

Post Office Address: _____

FEE TRANSMITTAL

Electronic Version 1.1.0

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Patent fees are subject to annual revisions on or about October 1st of each year.

Large Entity

TOTAL FEES AUTHORIZED: \$ 1392

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 01-2384

Deposit Account Name: Armstrong Teasdale LLP



Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

SUBMITTED BY

Authorized Name: Michael Tersillo

Electronic Signature Mark: Michael Tersillo

Date Signed: 20011009

BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 740

Subtotal For Basic Filing Fee: \$ 740

EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 40	103	\$ 18	20	\$ 360
Independent Claims: 6	102	\$ 84	3	\$ 252

Subtotal For Extra Claims Fees: \$ 612

ADDITIONAL FEES

Fee Description	Fee Code	Fee Paid
Recording Each Patent Assignment Per Property Fee	581	\$ 40

Subtotal For Additional Fees: \$ 40

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